



**Shook, Hardy & Bacon L.L.P.**

2555 Grand Boulevard  
Kansas City, MO 64108-2613  
(816) 474-6550

**SHOOK HARDY AND BACON**

JOHN LEWIS, JR, RECEIVER  
600 TRAVIS ST, SUITE 3400  
HOUSTON, TX 77002-2926

**Invoice No:**

**3125210**

**Invoice Date:**

**05/20/2025**

**Matter Number:**

**33206.389768**

**Billing Attorney:**

**John Lewis Jr.**

**Summary of Invoice**

For Professional services and disbursements thru **March 31, 2025**

Lewis, John, Jr., Receiver - SEC vs. Mauricio Chavez, et al.

Current Fees	\$36,012.00
Current Disbursements	5,201.24
<b>Total Current Fees and Disbursements</b>	<b>\$41,213.24</b>
Previous Balance	27,545.17
<b>Total Amount Due</b>	<b>\$68,758.41</b>

**REMITTANCE INFORMATION**

***Please verify your records reflect our new Wiring Instructions and Remit Address.***

**Wiring Instructions**

Commerce Bank  
1000 Walnut  
Kansas City, MO 64106  
ABA Number: 101000019  
SWIFT: CBKCUS44  
Account Number: 43056

**Remit Address**

Shook, Hardy & Bacon L.L.P.  
PO Box 843718  
Kansas City, MO 64184-3718

**Federal Tax ID:**

44-0585497

Payments received after March 31, 2025 may not be reflected herein.



33206.389768 3125210 05/20/2025

**Invoice Detail**For Professional services and disbursements thru *March 31, 2025*

Lewis, John, Jr., Receiver - SEC vs. Mauricio Chavez, et al.

**Professional Services**

Date	Attorney	Description	Hours	Amount
<b>SEC03 Business Operations</b>				
02/18/25	LNBY	Pulling a docket for Charelle Fluker	0.40	\$72.00
<b>Subtotal for SEC03 Business Operations</b>			0.40	\$72.00
<b>SEC04 Case Admin</b>				
01/10/25	PEP	Review status of request from T. Lies and follow up with regard to same.	0.30	\$127.50
01/14/25	PEP	Attention to upcoming status conference including stouts of various items.	0.40	170.00
01/15/25	PEP	Communication with victim.	0.20	85.00
02/05/25	PEP	Review status of document collection [REDACTED].	0.20	85.00
02/11/25	PEP	Confer with S. Askue regarding disgorgement amount for Receivership entities.	0.20	85.00
02/11/25	PEP	Intake documentation from victim.	0.40	170.00
02/14/25	PEP	Further attention to motion to approve plan and proposed plan.	0.20	85.00
<b>Subtotal for SEC04 Case Admin</b>			1.90	\$807.50
<b>SEC05 Claims Admin</b>				
01/07/25	PEP	Communication with vendor regarding claims database and agent.	0.20	\$85.00
01/10/25	PEP	Meeting with Veritas to discuss claims submission.	0.50	212.50
01/23/25	PEP	Attention to motion to approve plan.	1.00	425.00
02/20/25	PEP	Review additional briefing from C. Fluker.	0.20	85.00
02/27/25	PEP	Communications regarding status of claims portal.	0.40	170.00
03/04/25	PEP	Attention to motion for approval of plan.	2.00	850.00
03/04/25	PEP	Participate in call with vendor regarding remaining steps to activation of claims portal.	0.50	212.50
03/27/25	PEP	Comments to claim submission form.	0.20	85.00
<b>Subtotal for SEC05 Claims Admin</b>			5.00	\$2,125.00
<b>SEC11 Status Reports</b>				
01/21/25	CAF	Read and analyze all previous filed Receiver status reports.	3.40	No Charge
01/21/25	CAF	Onboarding to case.	0.50	No Charge
01/22/25	PEP	Attention to status report and associated exhibits, including follow up on minor few items.	1.00	425.00



33206.389768 3125210 05/20/2025

01/23/25	CAF	Onboarding meeting with Poston Pritchett and receive assignment with regard to motion in support of receiver's distribution plan	0.50	No Charge
01/24/25	PEP	Attention to status report, fee application, and exhibits.	2.50	No Charge
01/27/25	CAF	Review and edit eighth receivership status report	4.50	No Charge
01/27/25	CAF	Review motions of approval of receiver's distribution plan	2.60	1,105.00
01/28/25	PEP	Revise status report for circulation.	0.30	127.50
01/29/25	CAF	Edit and review receivership status report and file with the court	2.40	No Charge
01/30/25	PEP	Final attention to status report.	0.40	170.00
01/31/25	CAF	Correspond with supervising attorney on drafting upcoming motion for approval of receiver's distribution plan	0.40	170.00
02/03/25	CAF	Draft motion for receivership distribution plan and brief of support of distribution plan	2.20	935.00
02/04/25	CAF	Draft motion for receivership plan and brief in support of receivership plan with focus on relevant facts and background.	4.60	1,955.00
02/07/25	PEP	Attention to fee application.	1.50	No Charge
02/10/25	CAF	Draft motion for distribution plan and brief in support of distribution plan.	1.50	637.50
02/11/25	PEP	Attention to fee application.	0.40	No Charge
02/11/25	CAF	Draft motion for distribution plan and brief in support of distribution plan.	2.50	1,062.50
02/12/25	CAF	Draft motion for distribution plan and brief in support of distribution plan.	2.10	892.50
03/03/25	CAF	Draft motion in support of receiver's motion for the court to approve plan while adding a section for a bar claims date	4.40	1,870.00
<b>Subtotal for SEC11</b>		Status Reports	37.70	\$9,350.00

**SEC13      Litigation**

01/10/25	MKF	Perform Relativity searches regarding accounting spreadsheets, leader spreadsheets, payout sheets, master accounting sheets and documents produced by [REDACTED]	2.50	\$975.00
01/10/25	MKF	Preparation and organization of documents to be shared with SEC.	2.50	975.00
01/13/25	MKF	Continued Relativity searches regarding accounting spreadsheets, leader spreadsheets, payout sheets, master accounting sheets and documents produced by [REDACTED]	2.50	975.00
01/14/25	MKF	Continued Relativity searches regarding accounting spreadsheets, leader spreadsheets, payout sheets, master accounting sheets and documents produced by [REDACTED]	2.50	975.00
01/14/25	MKF	Continued preparation and organization of documents to be shared with SEC.	2.00	780.00
01/15/25	MKF	Continued Relativity searches regarding accounting	2.50	975.00



33206.389768 3125210 05/20/2025

		spreadsheets, leader spreadsheets, payout sheets, master accounting sheets and documents produced by [REDACTED].		
01/15/25	MKF	Continued preparation and organization of documents to be shared with SEC.	2.50	975.00
01/16/25	MKF	Continued Relativity searches regarding accounting spreadsheets, leader spreadsheets, payout sheets, master accounting sheets and documents produced by [REDACTED].	2.50	975.00
01/17/25	MKF	Continued Relativity searches regarding accounting spreadsheets, leader spreadsheets, payout sheets, master accounting sheets and documents produced by [REDACTED] cios.	2.50	975.00
01/17/25	MKF	Continued preparation and organization of documents to be shared with SEC.	2.50	975.00
01/22/25	MKF	Perform searches regarding Webster's Auction Palace payments.	0.50	195.00
01/22/25	MKF	Continued Relativity searches regarding accounting spreadsheets, leader spreadsheets, payout sheets, master accounting sheets and documents produced by [REDACTED].	2.50	975.00
01/23/25	MKF	Continued Relativity searches regarding accounting spreadsheets, leader spreadsheets, payout sheets, master accounting sheets and documents produced by [REDACTED].	2.50	975.00
01/23/25	MKF	Continued preparation and organization of documents to be shared with SEC.	2.50	975.00
01/27/25	MKF	Continued Relativity searches regarding accounting spreadsheets, leader spreadsheets, payout sheets, master accounting sheets and documents produced by [REDACTED] rcios.	2.50	975.00
01/27/25	MKF	Continued preparation and organization of documents to be shared with SEC.	2.50	975.00
01/28/25	MKF	Continued Relativity searches regarding accounting spreadsheets, leader spreadsheets, payout sheets, master accounting sheets and documents produced by [REDACTED].	2.50	975.00
01/28/25	MKF	Continued preparation and organization of documents to be shared with SEC.	2.50	975.00
01/29/25	MKF	Continued Relativity searches regarding accounting spreadsheets, leader spreadsheets, payout sheets, master accounting sheets and documents produced by [REDACTED].	2.50	975.00
01/30/25	MKF	Continued Relativity searches regarding accounting spreadsheets, leader spreadsheets, payout sheets, master accounting sheets and documents produced by [REDACTED].	2.50	975.00
01/30/25	MKF	Continued preparation and organization of documents to be shared with SEC.	2.50	975.00
01/31/25	MKF	Continued preparation and organization of documents to be shared with SEC.	2.50	975.00
01/31/25	MKF	Continued Relativity searches regarding accounting	2.50	975.00



33206.389768 3125210 05/20/2025

		spreadsheets, leader spreadsheets, payout sheets, master accounting sheets and documents produced by [REDACTED] rcios.		
02/05/25	MKF	Continued preparation and organization of documents to be shared with SEC.	2.50	975.00
02/11/25	PEP	Review S. Askue analysis of potential disgorgement amount.	0.40	170.00
02/13/25	PEP	Analyze Motion to Approve Plan and Proposed Plan.	1.00	425.00
03/03/25	PEP	Revisions to Brief in Support of Receiver's plan.	0.70	297.50
03/12/25	PEP	Review analysis for disgorgement amounts for settlement.	0.80	340.00
<b>Subtotal for SEC13</b>		Litigation	60.40	\$23,657.50
<b>Total</b>			105.40	\$36,012.00

**Timekeeper Summary**

Initials	Name	Hours	Rate	Amount
PEP	Poston E. Pritchett	11.50	\$425.00	\$4,887.50
CAF	Charelle A. Fluker	20.30	425.00	8,627.50
MKF	Mia K. Fleming	57.50	390.00	22,425.00
LNBY	Lindsey N. Bangert	0.40	180.00	72.00
<b>Total Fees</b>		<b>89.70</b>		<b>\$36,012.00</b>

**Disbursements**

Date	Description	Amount
<b>Expense Code: E113</b>		
03/11/25	Veritext - Service of Subpoena Veritext, Service of Subpoena/Summons for Henry Nguyen.	\$503.25
03/11/25	Veritext - Service of Subpoena Veritext, Service of Subpoena/Summons for Olegario Munoz	67.75
03/11/25	Veritext - Service of Subpoena Veritext, Service of Subpoena/Summons and Witness Fee of Man Lol Wong	224.10
03/11/25	Veritext - Service of Subpoena Veritext, Service of Subpoena/Summons for Juakin Santamaria.	198.25
<b>Subtotal for E113</b>		<b>\$993.35</b>

<b>Expense Code: E118</b>		
01/31/25	Gulfstream Legal Group LLC - Litigation Support Gulfstream Legal Group LLC, Relativity managed services and electronic data collection invoice for January 2025, related to SEC v. Mauricio Chavez, et al.	\$1,427.63
02/28/25	Gulfstream Legal Group LLC - Litigation Support Gulfstream Legal Group LLC, Relativity managed services and electronic data collection invoice for February 2025, related to SEC v. Mauricio Chavez, et al.	1,427.63



33206.389768 3125210 05/20/2025

Date	Description	Amount
03/31/25	Gulfstream Legal Group LLC - Litigation Support Gulfstream Legal Group LLC, Relativity managed services and electronic data collection invoice for March 2025, related to SEC v. Mauricio Chavez, et al.	1,352.63
<b>Subtotal for</b>	<b>E118</b>	<b>\$4,207.89</b>
<b>Total Disbursements</b>		<b>\$5,201.24</b>
Current Disbursements		5,201.24
<b>Total Current Fees and Disbursements</b>		<b>\$41,213.24</b>
Previous Balance		27,545.17
<b>Total Amount Due</b>		<b>\$68,758.41</b>